

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Dan Galai et al. Art Unit : 3624
Serial No. : 10/753,131 Examiner : Lalita M. Hamilton
Filed : January 7, 2004 Conf. No. : 4435
Title : DIVERSIFICATION OF RISK FOR ARTISTS AND INVESTORS

MAIL STOP AMENDMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Applicant requests consideration of the references listed on the attached PTO-1449 form. Copies of any listed U.S. patents or U.S. patent application publications can be provided upon request.

The listed references were mentioned by the Examiner during a telephone call to the undersigned representative on November 6, 2006 and discussed by the undersigned representative with the Examiner during a telephone call on November 15, 2006 (*see* concurrently-filed Interview Summary).

Please apply any other charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: 11/22/06

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Substitute Form PTO-1449 (Modified)		U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 16615-002001	Application No. 10/753,131
Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant		
		Filing Date January 7, 2004	Group Art Unit 3624	

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	7,062,457	Jun 13, 2006	Kaufman			
	AB	6,792,411	Sept 14, 2004	Massey			
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

Foreign Patent Documents or Published Foreign Patent Applications							
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation
							Yes
	AL						
	AM						
	AN						
	AO						
	AP						

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
	AQ	
	AR	
	AS	
	AT	

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	